

*item 1 – cover page*

**Roy R. Rumbley  
Senior Vice President**



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Birmingham, Alabama 35203

205.703.0900

**[www.greyboxinvestments.com](http://www.greyboxinvestments.com)**

**Part 2B of Form ADV:  
Brochure Supplement**

**May 1, 2019**

This brochure supplement provides information about Roy R. Rumbley that supplements the Greybox Investments firm brochure. You should have received a copy of that brochure. Please contact Donie M. Carlson, Managing Member, if you did not receive Greybox Investments' brochure or if you have any questions about the contents of this supplement.

Additional information about Roy R. Rumbley is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Mr. Rumbley's CRD Number is 4800237.

## ***item 2 - educational background and business experience***

Roy R. Rumbley  
CRD # 4800237

*Year of birth:* 1960

### ***Formal education:***

- Jacksonville University, MBA 1989
- University of Alabama, BS, 1984

### ***Business background:***

- Greybox Investments fka DMC Group, LLC – Senior Vice President (08/2016 – Present)
- Sterne Agee Investment Advisor Services, Inc. – Investment Advisor Representative (2005 – 2016)
- Sterne Agee Financial Services, Inc. – Financial Advisor (2009 – 2016)
- Sterne Agee & Leach, Inc. – Investment Representative (2004 – 2009)

## ***item 3 – disciplinary information***

Roy R. Rumbley has not been the subject of any legal or disciplinary event.

## ***item 4 – other business activities***

Mr. Rumbley is not engaged in any investment-related business activities

other than those related to Greybox Investments. However, he does operate a single member LLC, Rumbley Capital Management LLC, that owns rental properties in Mississippi.

## ***item 5 – additional compensation***

Mr. Rumbley does not receive any additional compensation related to the advisory services provided to you.

## ***item 6 – supervision***

Roy R. Rumbley is supervised by Donie M. Carlson, Managing Member and CCO of Greybox Investments. Ms. Carlson can be reached at 205.703.0900.

We supervise Mr. Rumbley by requiring that he adhere to our processes and procedures as described in our firm's Compliance Manual and Code of Ethics and through monitoring his activities accordingly.

## ***item 7 – requirements for state-registered advisers***

Roy R. Rumbley does not have, or has ever had, any reportable arbitration claims and has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding. He has also not been the subject of a bankruptcy petition.